

# **How Far is Too Far in HCC for Liver Transplantation?**

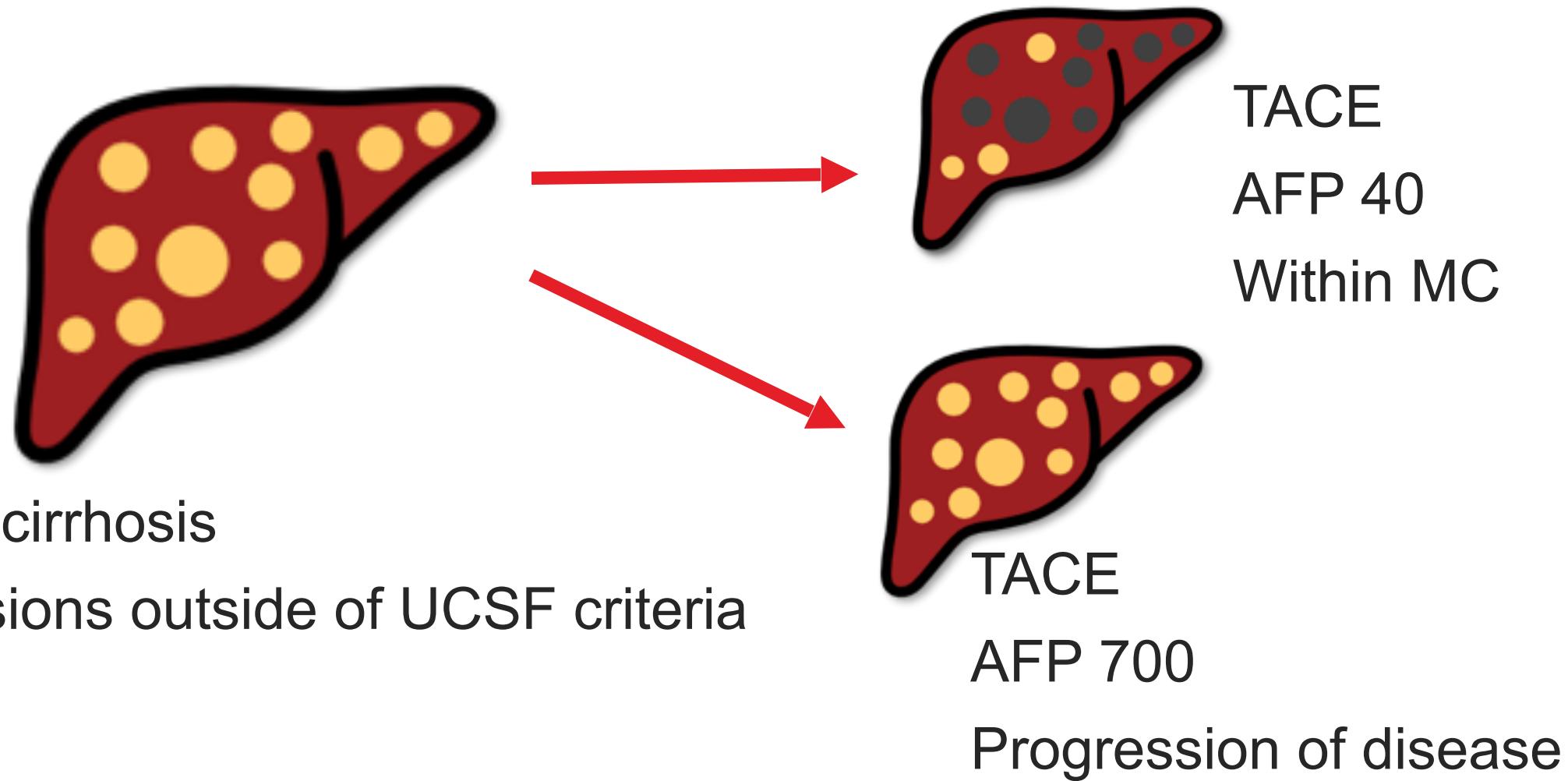
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Recanati/Miller Transplantation Institute  
Icahn School of Medicine at Mount Sinai  
Sept 23<sup>rd</sup> 2023

# Disclosures

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- Bayer
- Boston Scientific
- AstraZeneca

# Case # 1

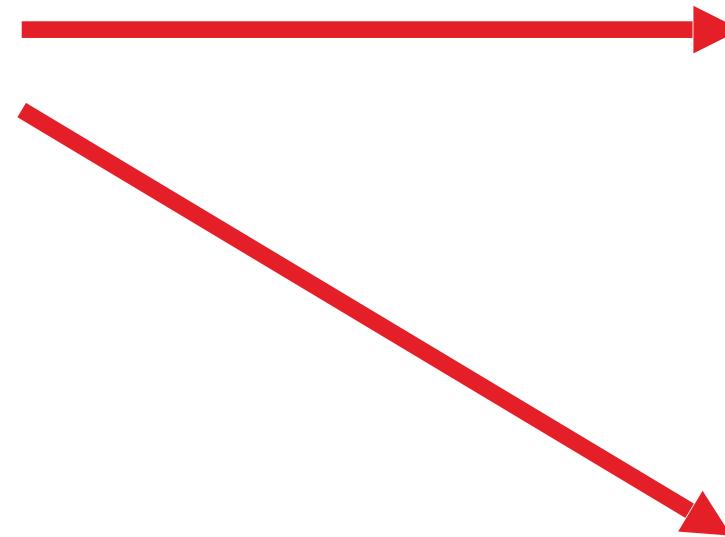


**Acceptable criteria for liver transplant?**

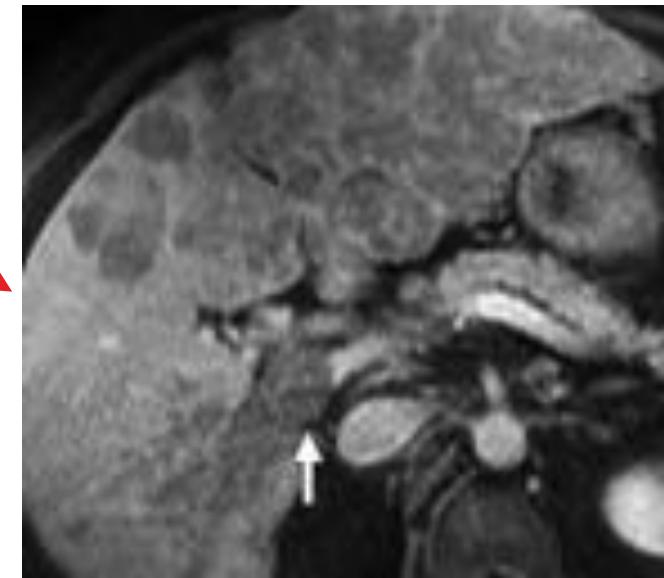
## Case # 2



- 58 M ETOH cirrhosis
- Infiltrating HCC, no extrahepatic spread
- AFP 18,000

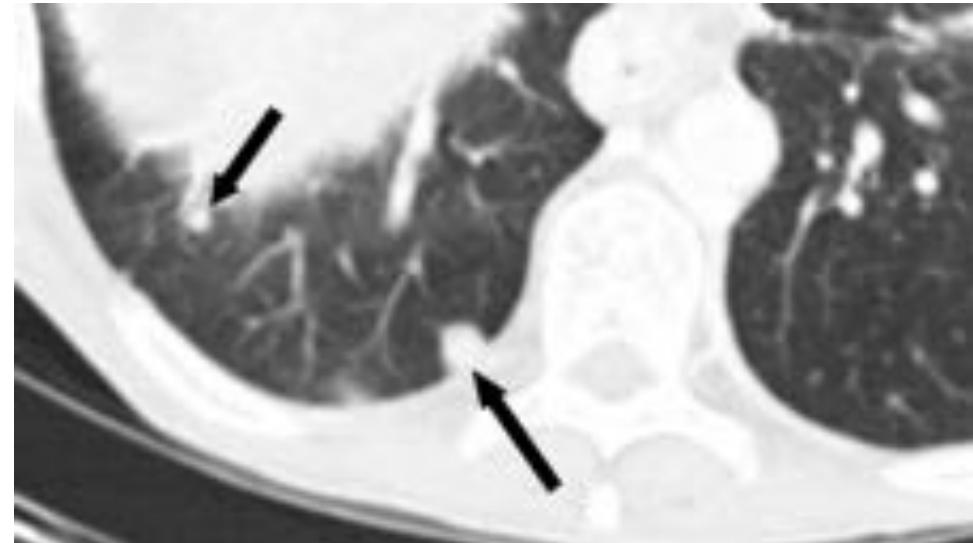
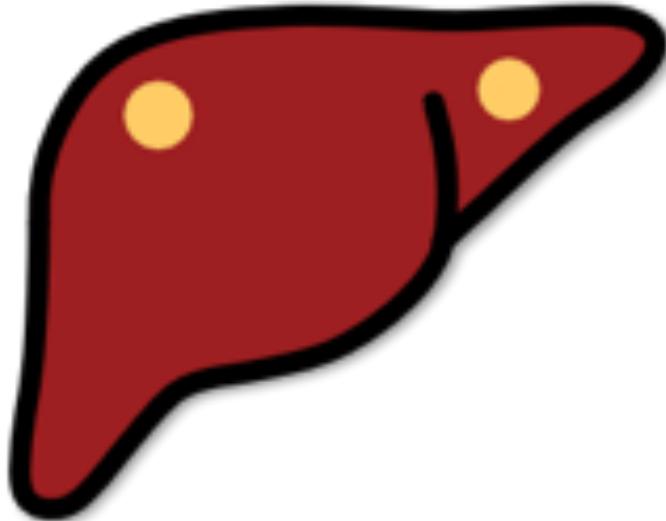


SBRT  
AFP <20  
Within MC  
14 months



# Case # 3

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- 58 M NASH cirrhosis
- 2 x HCC within MC
- AFP 50, Y 90
- Recurrence 1 year later, 3 cm HCC TARE
- Treatment with IO
- Complete response for 1.5 years

**Acceptable criteria for liver transplant?**

# ANNALS OF SURGERY

Vol. 202

October 1985

No. 4



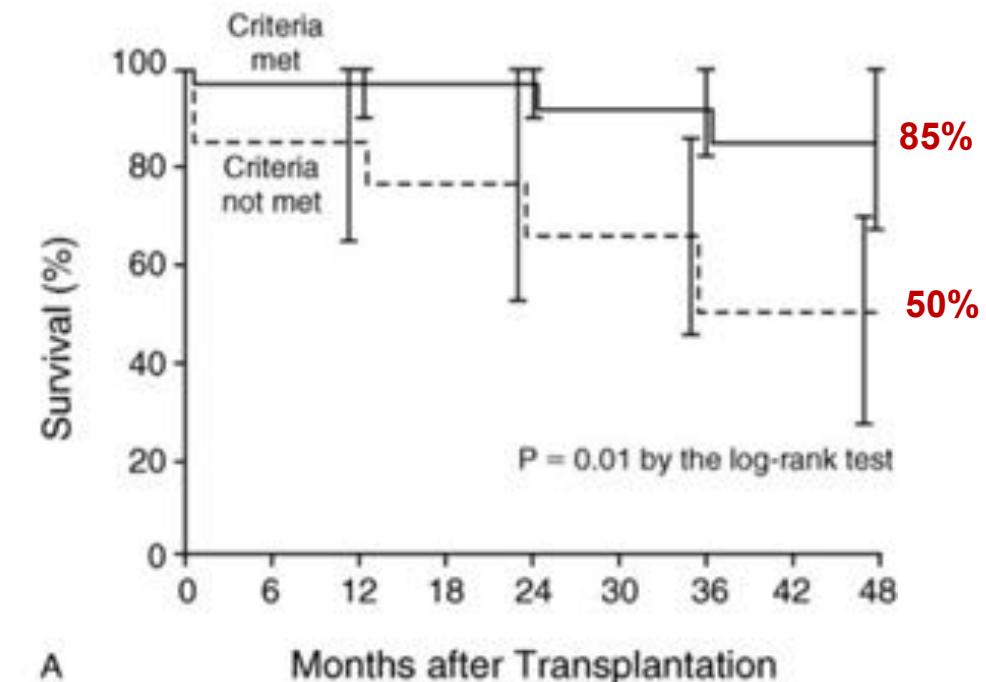
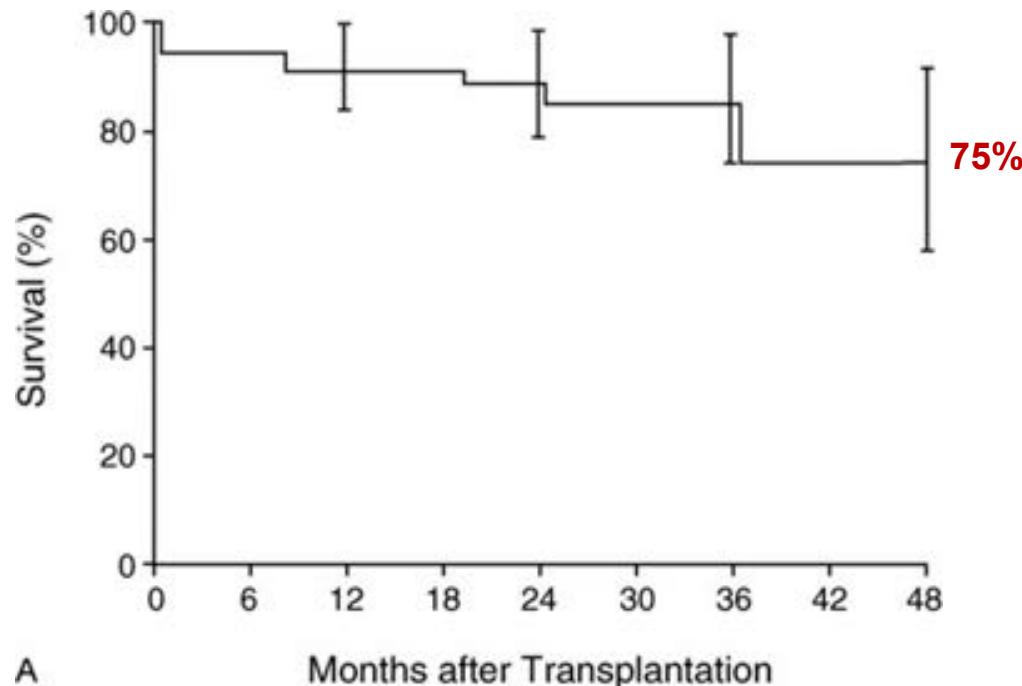
## *Role of Liver Transplantation in Cancer Therapy*

SHUNZABURO IWATSUKI, M.D., ROBERT D. GORDON, M.D., BYERS W. SHAW, JR., M.D.,  
THOMAS E. STARZL, M.D., PH.D.

- 72 % Recurrence rate for HCC
- Median 8 months RFS

**Moratorium on liver transplantation for HCC**

# Milan criteria



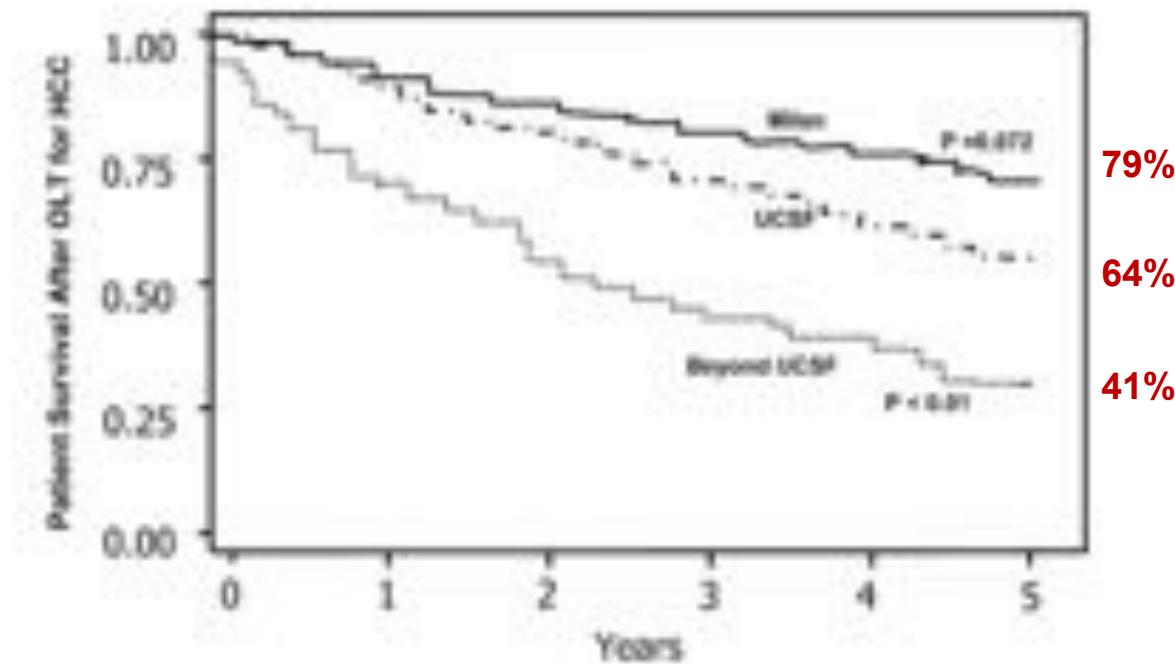
# Liver Transplantation Criteria For Hepatocellular Carcinoma Should Be Expanded

A 22-Year Experience With 467 Patients at UCLA

John P. Duffy, MD, Andrew Vardanian, MD, Elizabeth Benjamin, MD, PhD, Melissa Watson, MD,  
Douglas G. Farmer, MD, Rafik M. Ghobrial, MD, PhD, Gerald Lipschitz, MD, Hasan Yersiz, MD,  
David S. K. Lu, MD, Charles Lassman, MD, Myron J. Tong, MD, PhD, Jonathan R. Hiatt, MD,  
and Ronald W. Busuttil, MD, PhD

ANNALS OF SURGERY

A Monthly Review of Surgical Sciences Since 1885



Recanati/Miller  
Transplantation Institute

Duffy et al. Annals of Surgery 2007



# Selection criteria

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## Morphometric criteria

### Tumor biology

Waiting time  
Response to bridge therapy  
AFP

Sugawara *et al* 2007

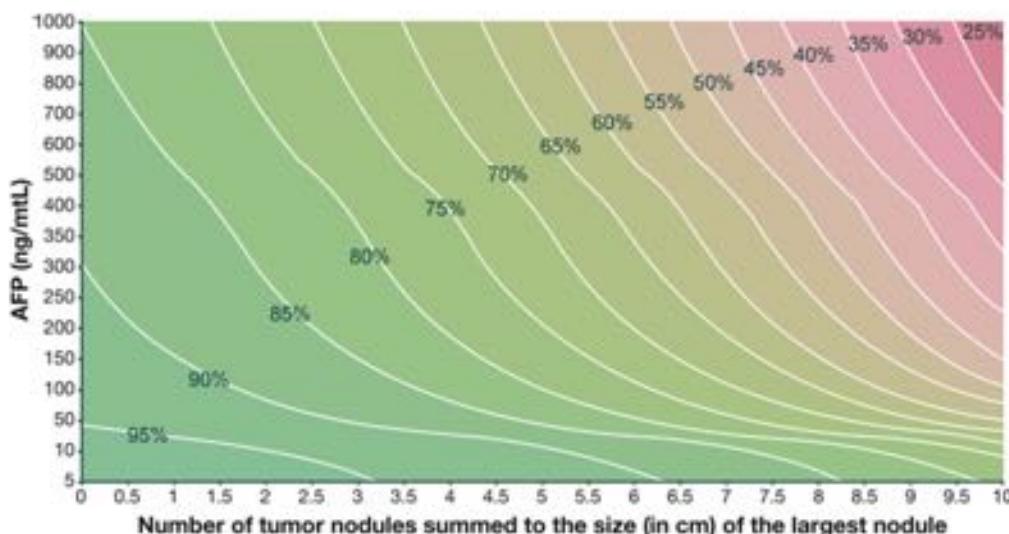
78     $\leq 5$  HCC  $\leq 5$  cm

70% at 3 yr

# Selection Criteria

Variables	$\beta$ coefficient	Hazard ratio	Points
Largest diameter, cm			
$\leq 3$	0	1	0
3–6	0.272	1.31	1
$> 6$	1.347	3.84	4
Number of nodules			
1–3	0	1	0
$\geq 4$	0.696	2.01	2
AFP level, ng/mL			
$\leq 100$	0	1	0
100–1000	0.668	1.95	2
$> 1000$	0.945	2.57	3

↑ 20%



JAMA Surgery | Original Investigation

## Dynamic $\alpha$ -Fetoprotein Response and Outcomes After Liver Transplant for Hepatocellular Carcinoma

Karim J. Halazun, MD; Russell E. Rosenblatt, MD, MS; Neil Mehta, MD; Quirino Lai, MD; Kaveh Hajifathalian, MD, PhD; Andre Gorgen, MD; Gagan Brar, MD; Kazunari Sasaki, MD; Maria B. Majella Doyle, MD; Parissa Tabrizian, MD; Vatche G. Agopian, MD; Marc Najjar, MD; Tommy Ivanics, MD; Benjamin Samstein, MD; Robert S. Brown Jr, MD, MPH; Jean C. Emond, MD; Francis Yao, MD; Jan Lerut, MD, PhD; Massimo Rossi, MD; Gianluca Mennini, MD; Samuele Iesari, MD; Armin Finkenstedt, MD; Benedikt Schaefer, MD; Jans Mittler, MD; Maria Hoppe-Lotichius, MD; Cristiano Quintini, MD; Federico Aucejo, MD; William Chapman, MD; Gonzalo Sapisochin, MD

NYCA score	Recurrence Risk	5-Year RFS
0-2	Low Risk	90%
3-6	Acceptable Risk	70%
7 or More points	High Risk	42%

> 85% MC out → low/acceptable risk

Mazzaferro et al, Gastroenterology 2018  
Halazun et al, Annals of Surg 2018  
Duvoux et al, Gastroenterology 2012

# Downstaging outcomes

## Inclusion Criteria:

HCC exceeding UNOS T2 criteria but meeting one of the following:

- Single lesion  $\leq 8$  cm
- 2 or 3 lesions each  $\leq 5$  cm with the sum of the largest tumor diameters  $\leq 8$  cm
- 4 or 5 lesions each  $\leq 3$  cm with the sum of the largest tumor diameters  $\leq 8$  cm

No vascular invasion

**Successful downstaging**

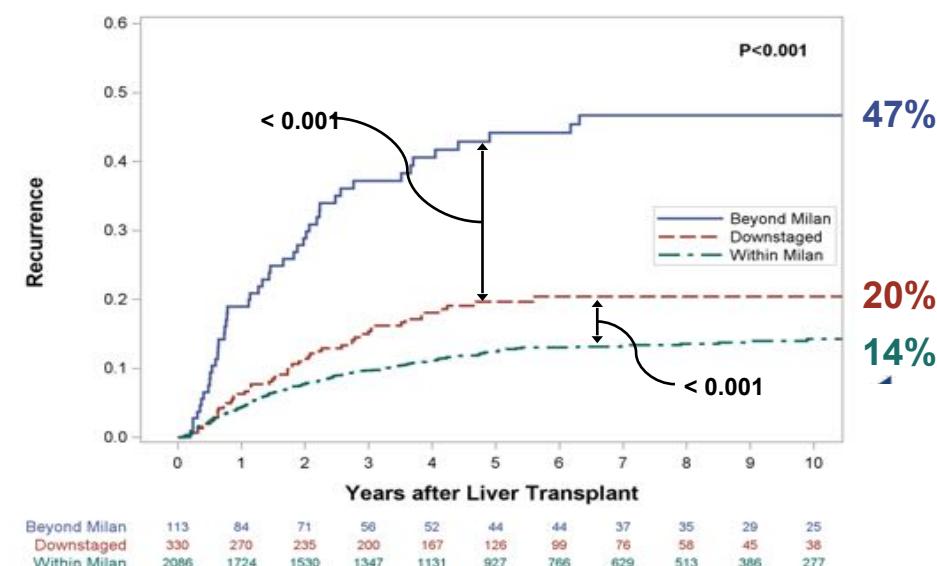
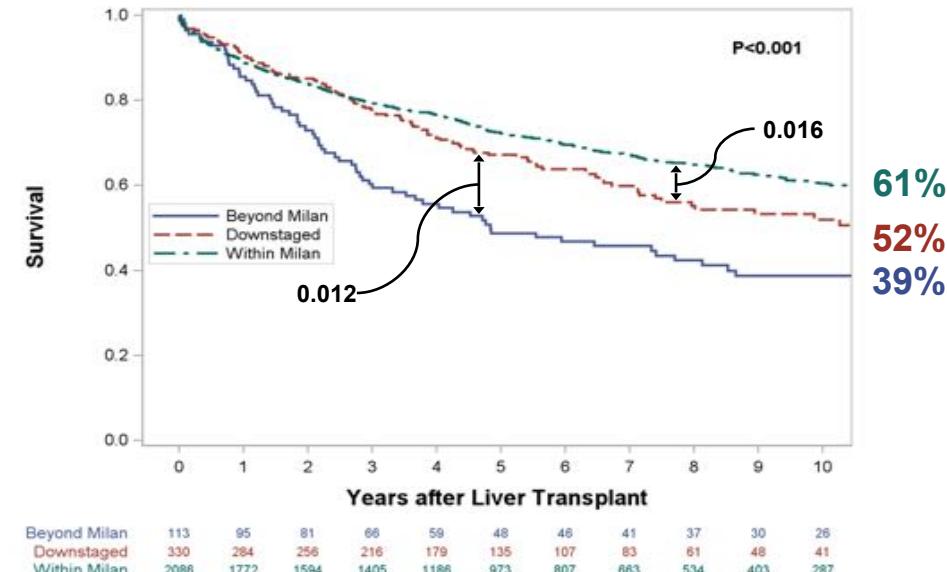
→ Residual tumor(s) within MC

**Downstaging failure**

→ Progression of tumor(s) beyond MC

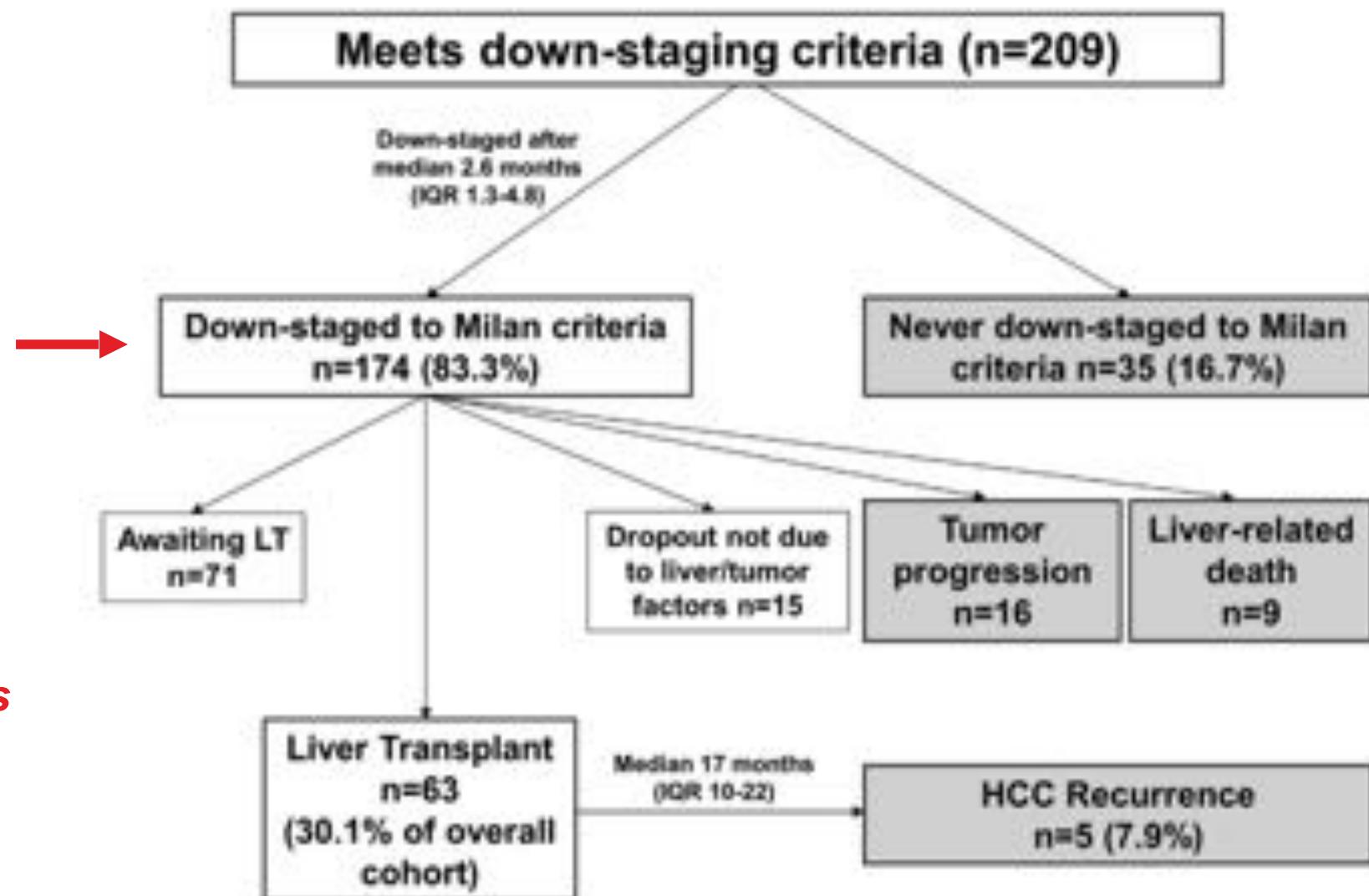
→ Vascular invasion, extrahepatic disease

Minimum observation period of 3 months before LT



2 yr OS: 95%, RR: 7.9%

## Intention-to-Treat Outcomes

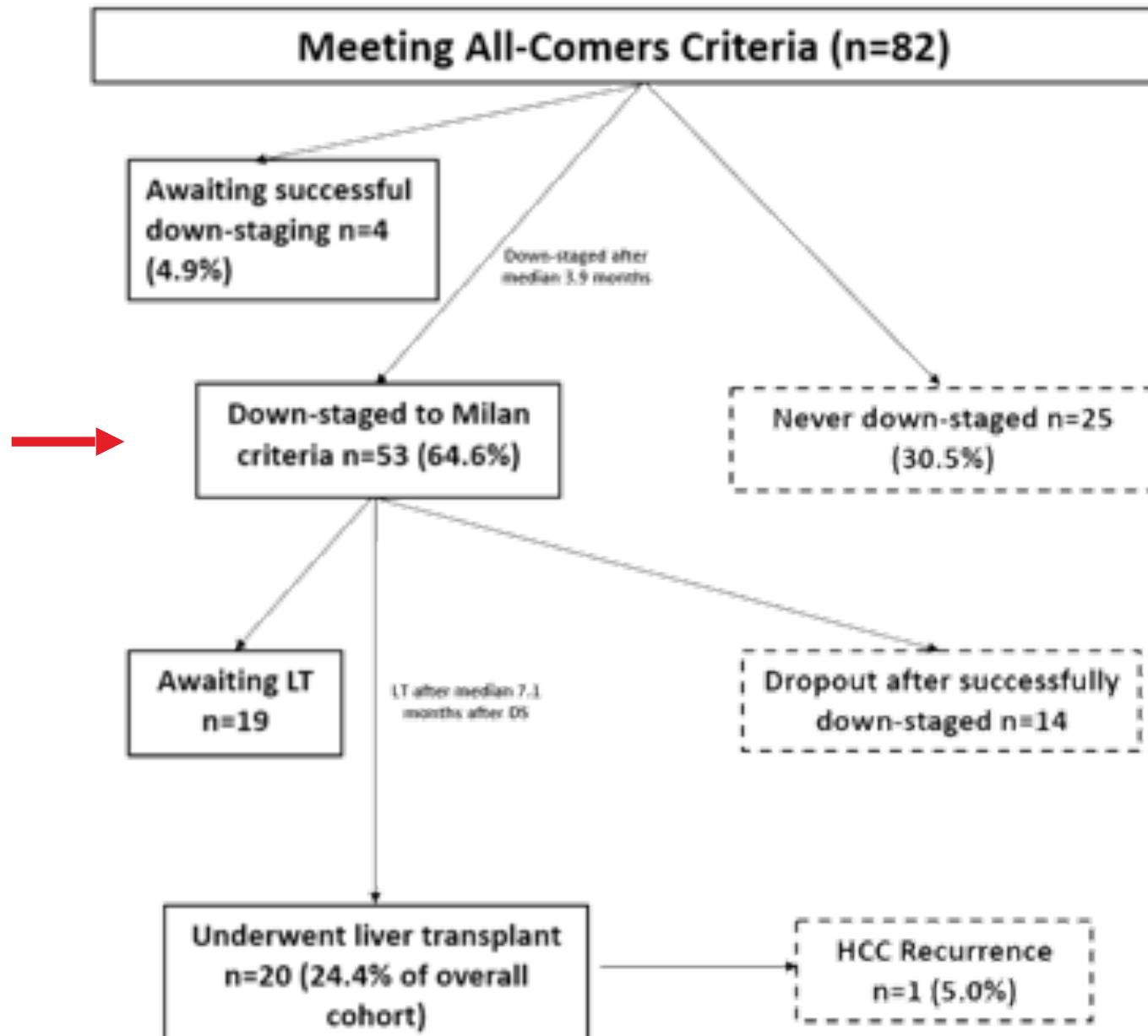


Probability of LT at 3 years  
46%



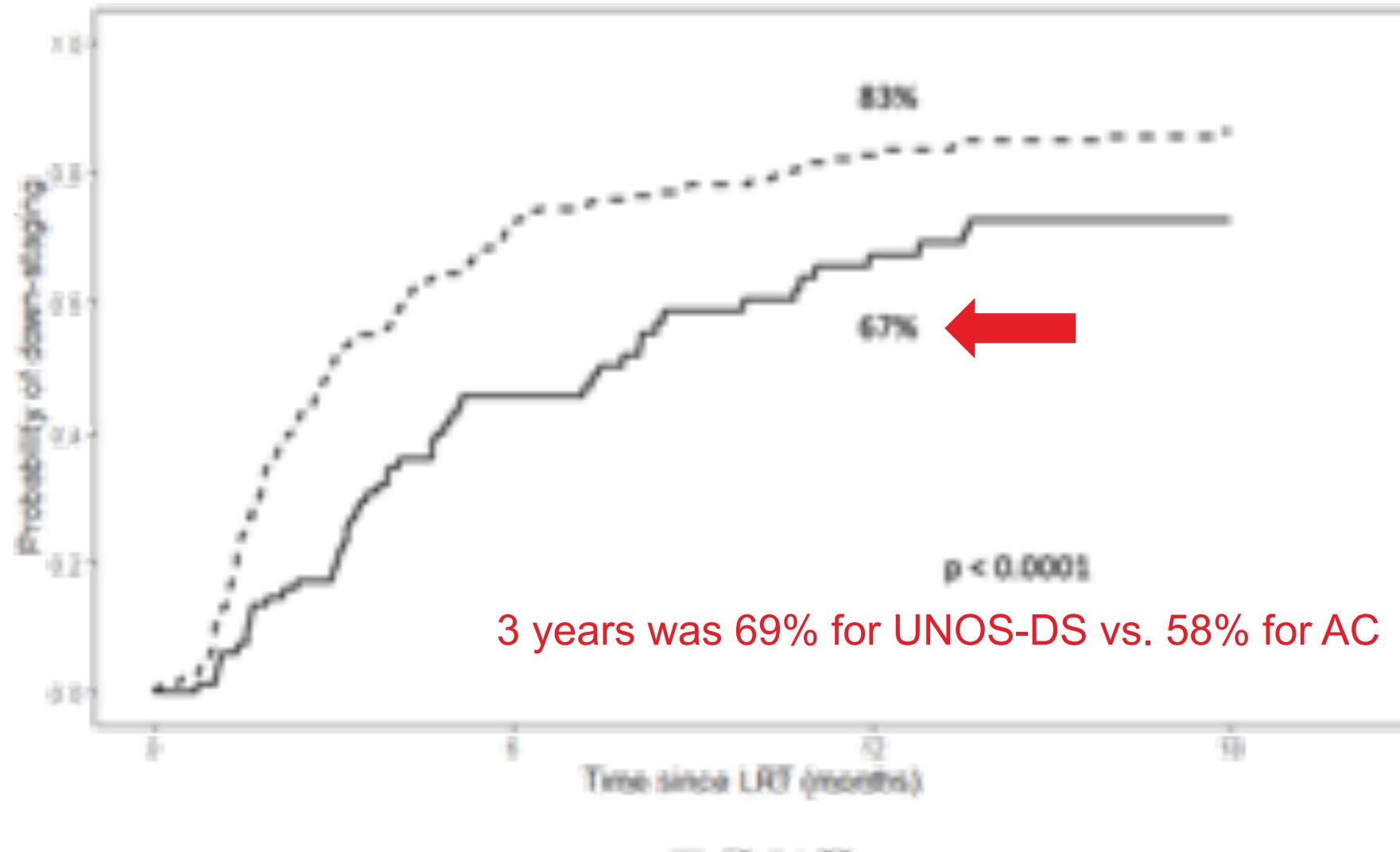
Recanati/Miller  
Transplantation Institute

Mehta et al. Gastroenterology Nov 2021



## Exceeding UNOS DS

- Any number
- Any size
- Any diameter

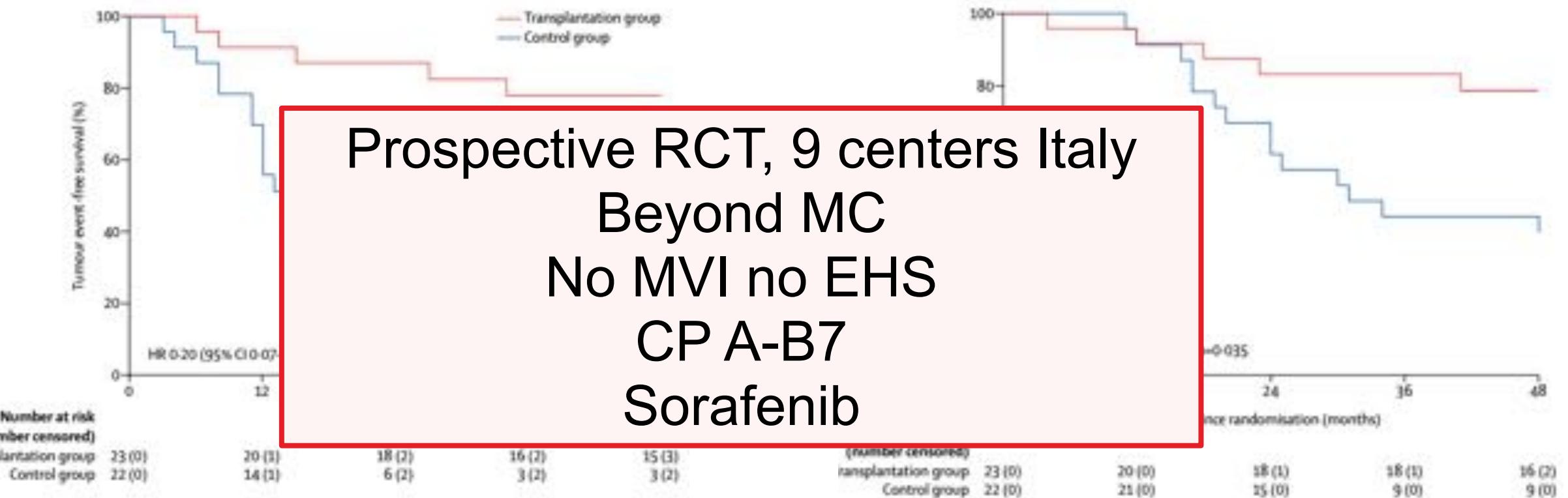


AC 82  
DS 229

38  
61  
18  
25

10  
15

# RTC downstaging



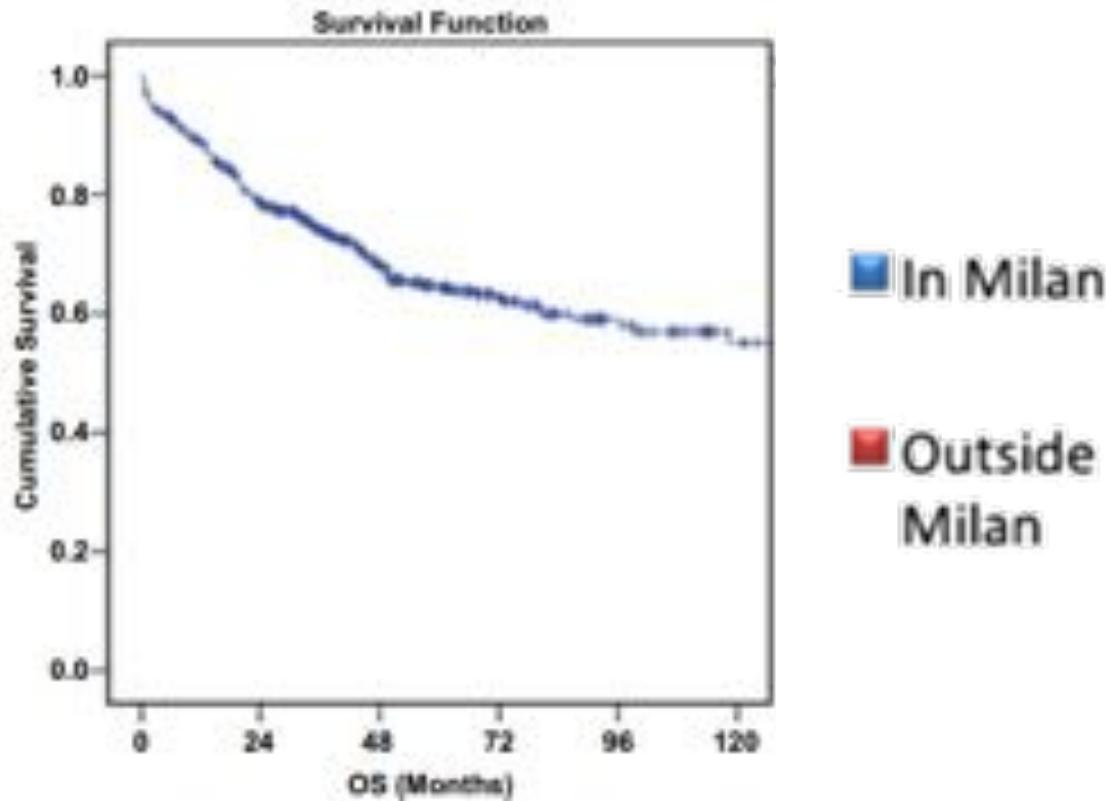
**5-year OS 77% vs 31%**

# LDLT-expanded criteria

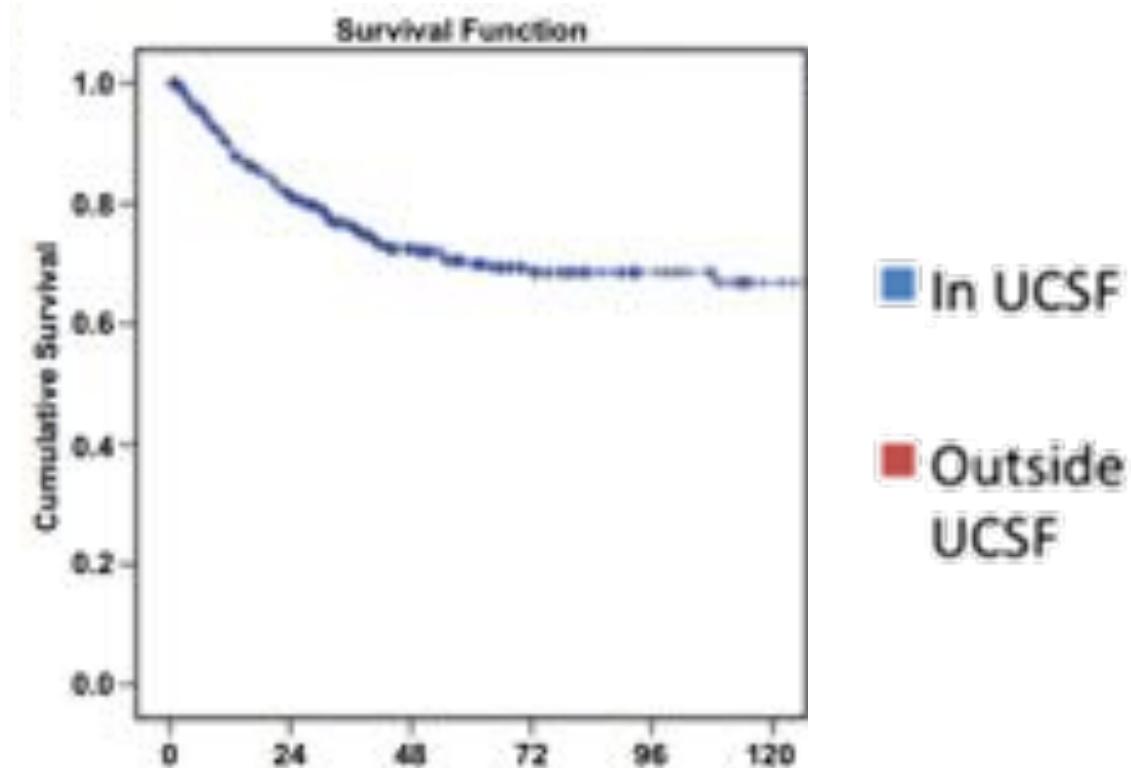
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		<b>Selection criteria</b>
Tokyo (5-5 rule) 2007	78	≤ 5 nodules and ≤ 5 cm
Kyoto Criteria 2013	136	≤ 10 nodules, all ≤ 5 cm and DCP ≤ 400 mAU/ml
Asan Medical Center 2008	857	Tumor diameter ≤ 5 cm, ≤ 6 lesions, no Mv invasion
Kyushu Criteria 2009	90	Any # of tumors, ≤ 5 cm in size, and DCP < 300
Turkey 2012	92	No EHD, no Mv PV invasion
Samsung	180	≤ 7 tumors, diameter ≤ 6 cm, AFP ≤ 1000 ng/ml
Toronto Criteria 2015	294	Any size, any #, no Mv invasion, grade well-mod
Medanta 2021	405	No EHD, no Mv invasion, any size/number

# LDLT-expanded criteria



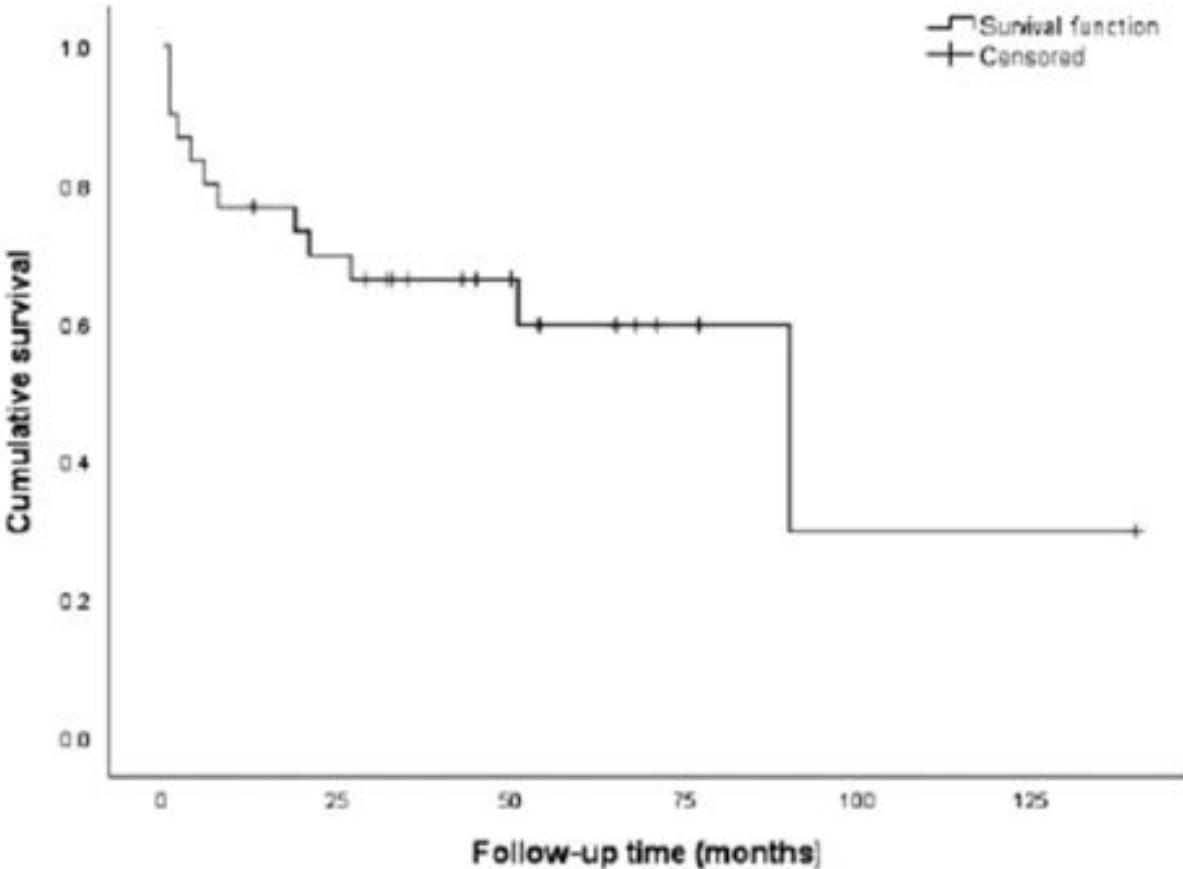
5-yr OS 64%  
5-yr RFS 70%



**Three factors predicted recurrence:**

- Pre-LT AFP  $\geq 100$  ng/mL
- Tumor burden beyond UCSF
- 18F FDG PET avidity

# Macrovascular invasion?



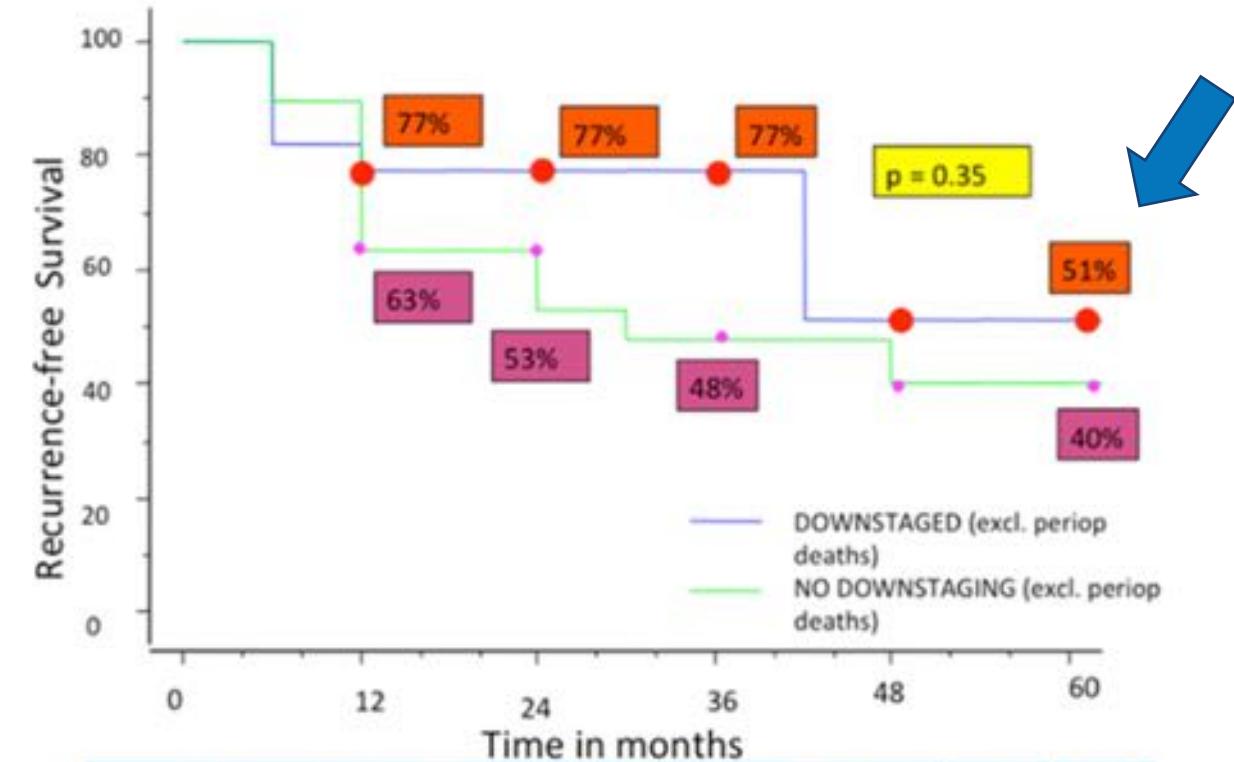
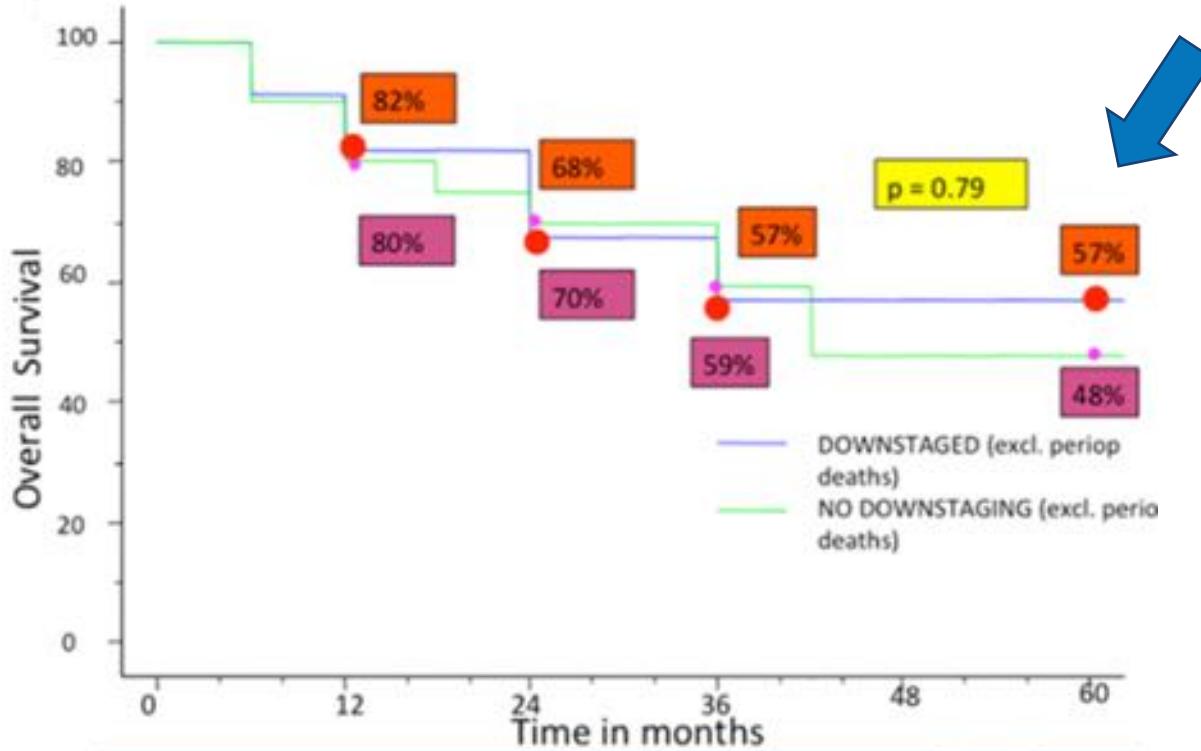
Multicenter study, 11 centers  
N=30  
+PVT (no PV4), OLT after CR  
5 year OS 60%

## Predictors of recurrence:

- AFP >10 ng/ml at time of LT
- Number of viable tumors
- Presence of residual HCC
- Satellite nodules
- Beyond Milan Criteria

IF AFP was  $\leq 10$  ng/ml, HCC recurrence was 11% vs 50%

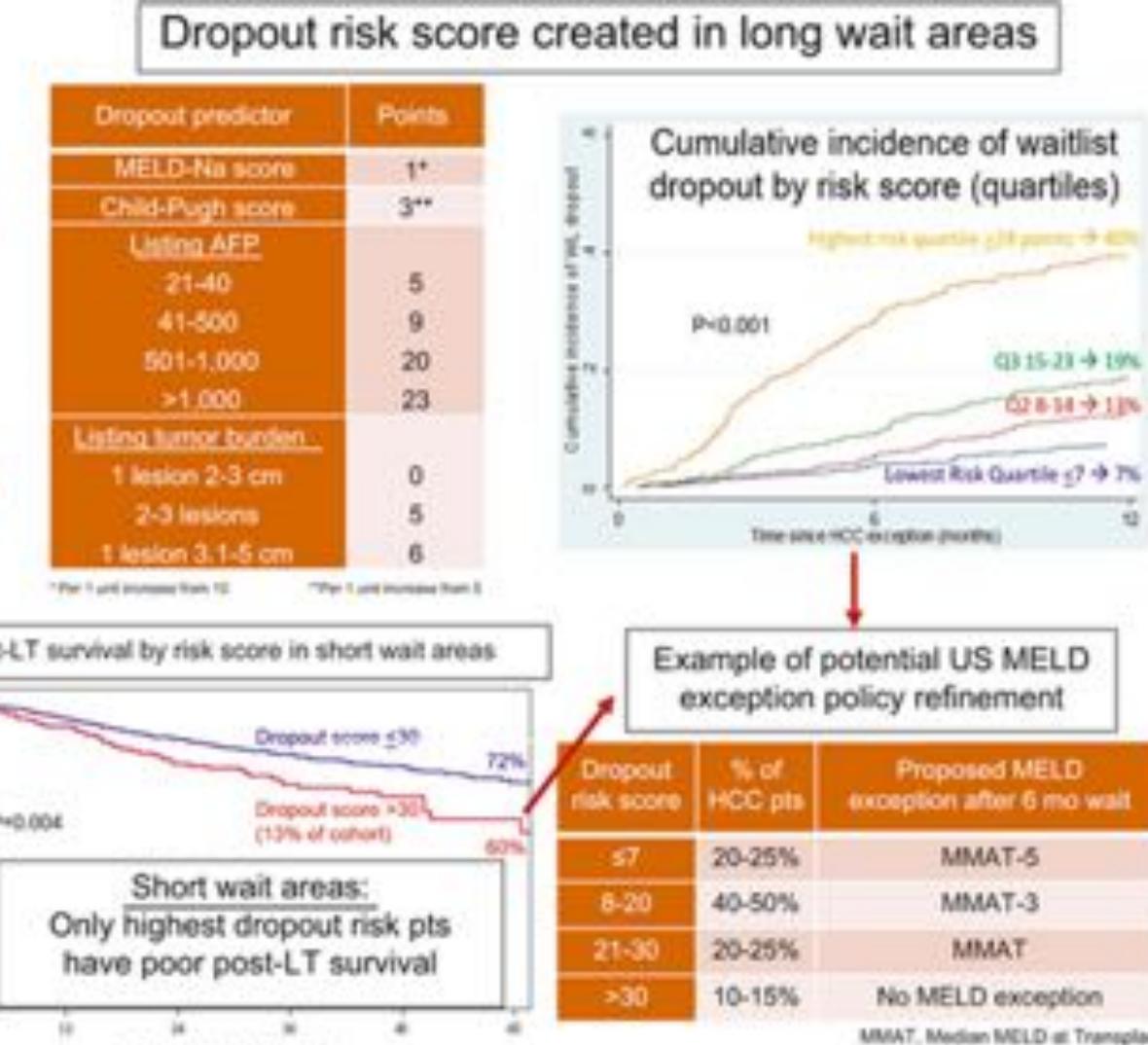
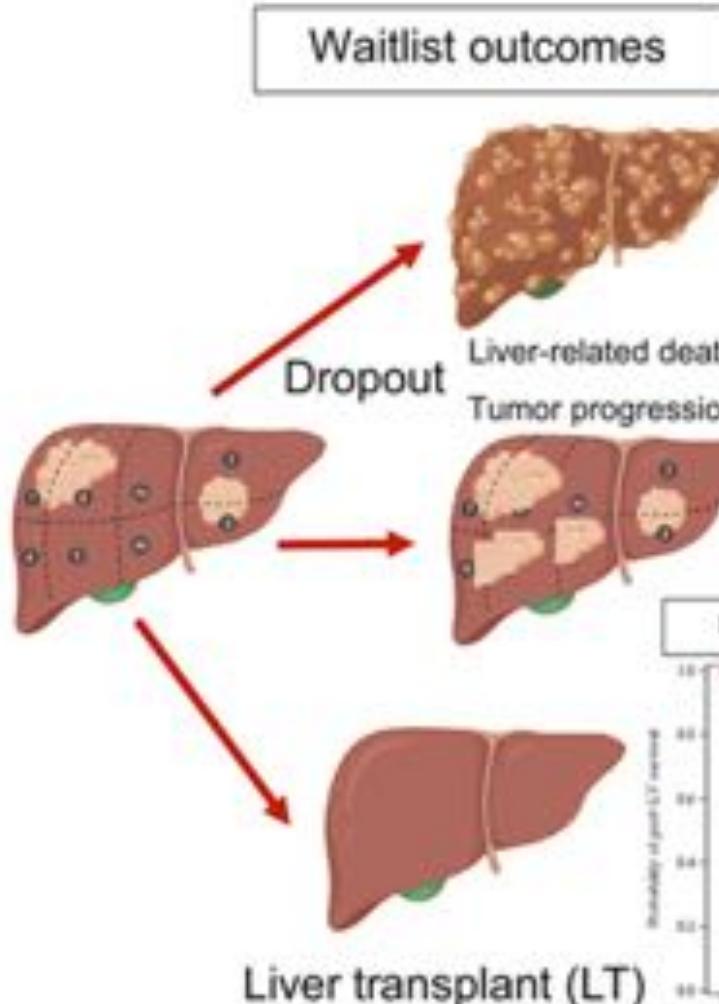
# LDLT-PVT-Downstaging



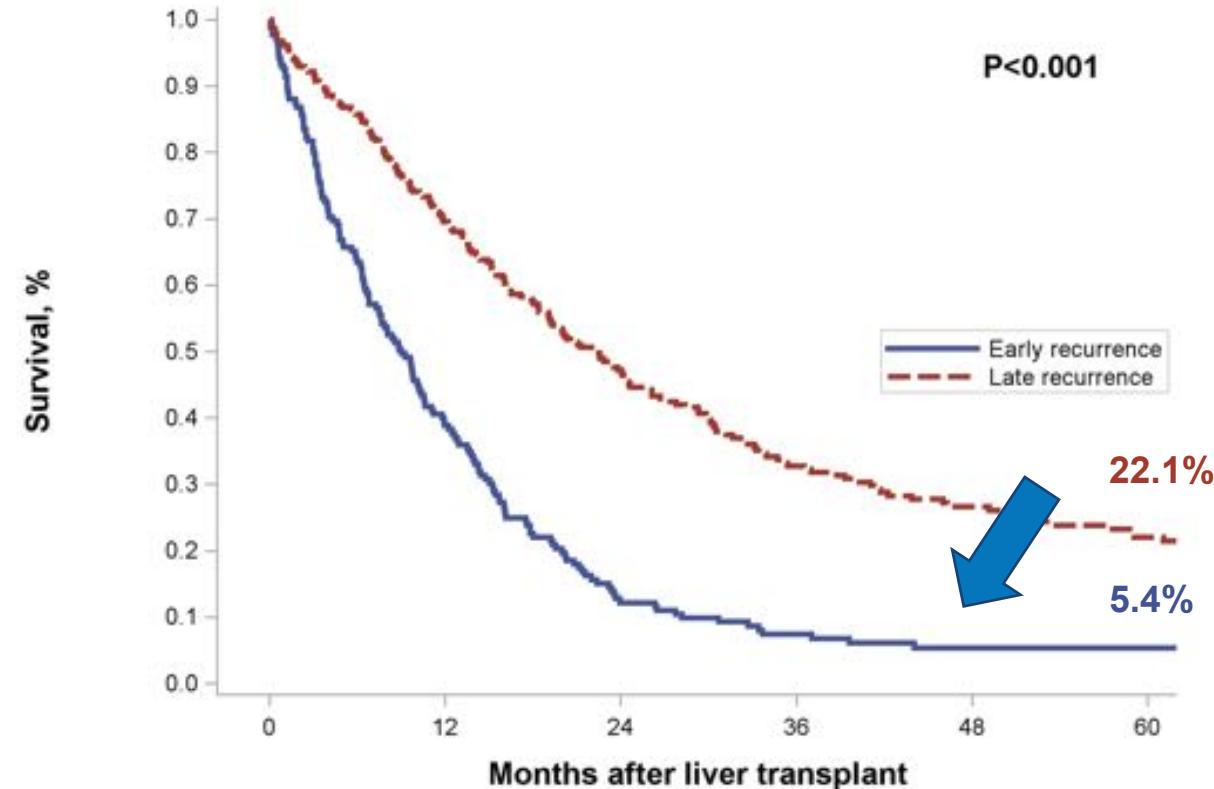
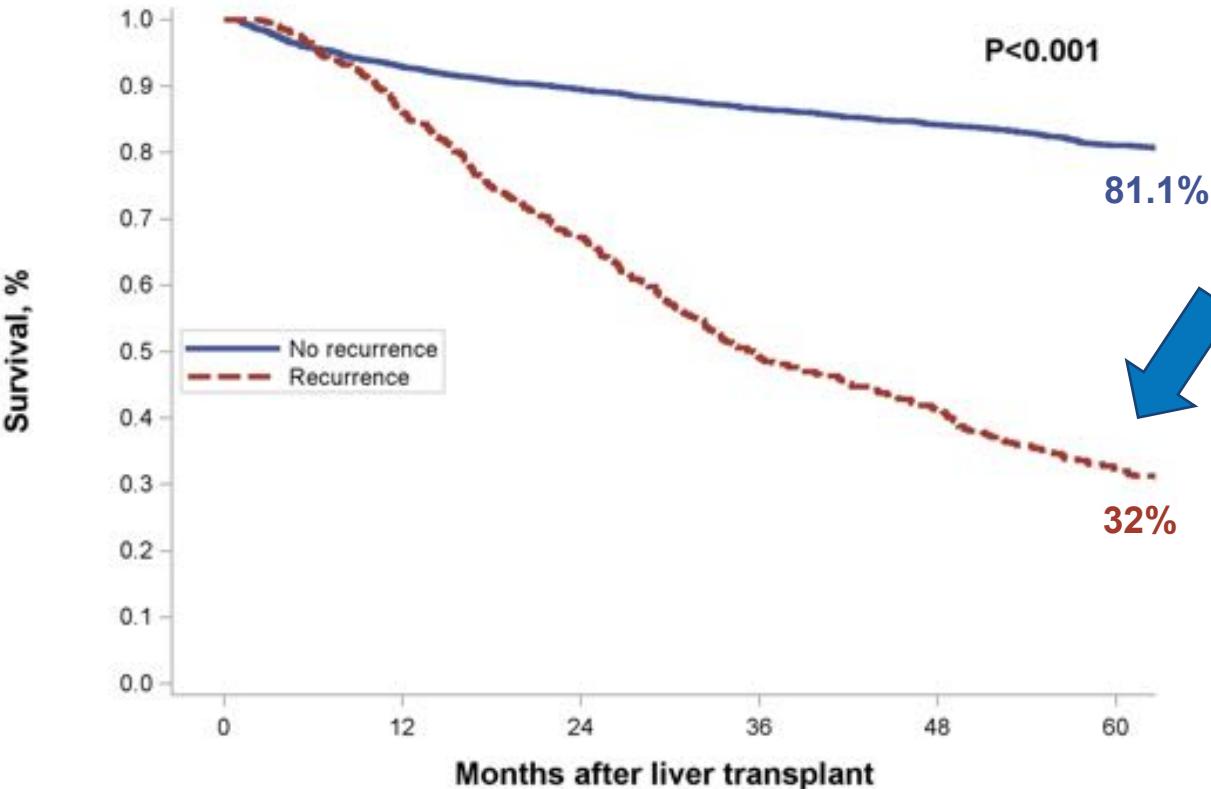
No. of patients exposed	1 yr	2 yrs	3 yrs	4 yrs
Downstaged patients (23)	15	8	4	2
No downstaging (20)	16	14	11	5

No. of patients exposed	1 yr	2 yrs	3 yrs	5 yrs
Downstaged patients (23)	13	7	4	1
No downstaging (20)	12	10	8	4

# Dropout risk score



# Recurrence!

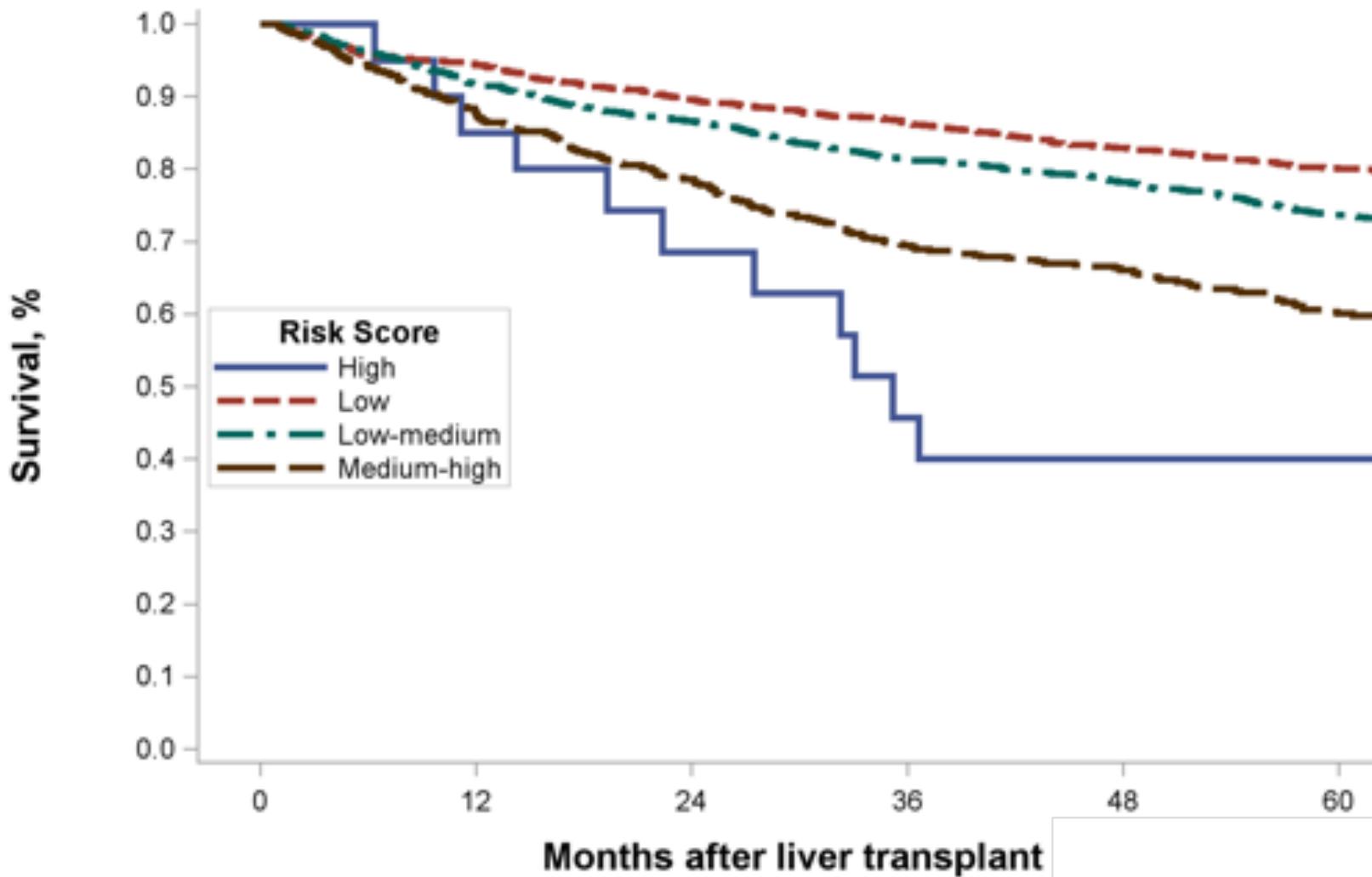


No recurrence	2776	2459	2259	2050	1774	1449
Recurrence	459	395	308	221	172	121

Early recurrence	175	68	21	12	7	5
Late recurrence	283	184	110	68	48	36

## Predictors of Early Post - LT HCC Recurrence (n = 175)

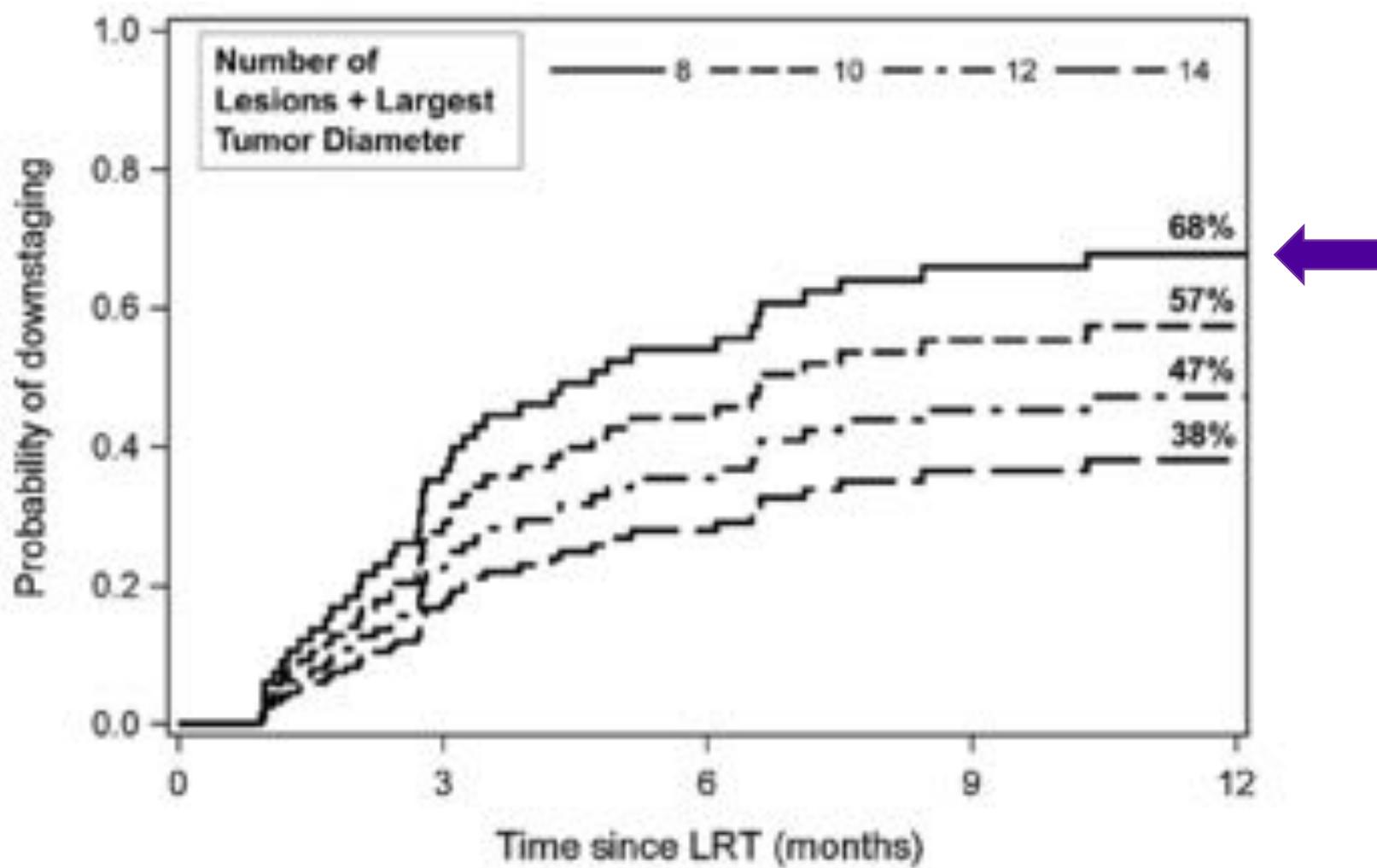
Variable	HR (95% CI)	P - Value	Score
<b>Number of initial tumors &gt; 3</b>	1.39 (0.77 - 2.53)	0.279	0
<b>Maximum initial tumor size</b>			
< 3 cm	Reference	-	
3 - 6 cm	1.53 (1.10 - 2.12)	0.012	2
> 6 cm	3.79 (2.28 - 6.30)	< 0.001	4
<b>Pre - LT NLR &gt; 5</b>	1.64 (1.15 - 2.35)	0.007	2
<b>3 or more pre - LT LRT</b>	2.20 (1.36 - 3.58)	0.002	2
<b>AFP at LT</b>			
< 20, always < 20	Reference	-	
< 20, maximum > 20	1.41 (0.75 - 2.64)	0.286	0
> 20, > 75% decrease from maximum AFP	2.23 (0.91 - 5.48)	0.079	0
> 20, 25 - 75% decrease from maximum AFP	2.72 (1.45 - 5.11)	0.002	3
> 20, < 25% decrease from maximum AFP	4.48 (3.19 - 6.30)	< 0.001	4



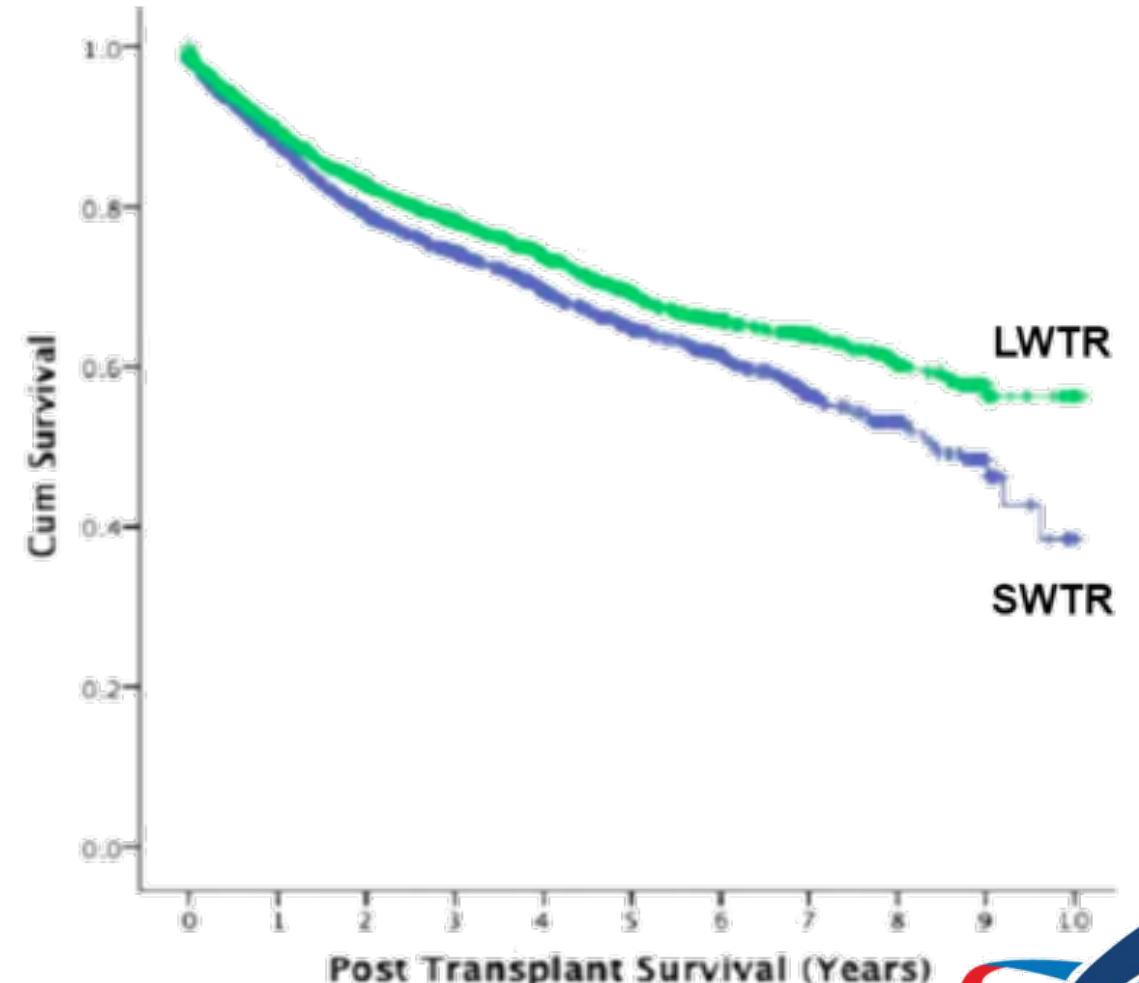
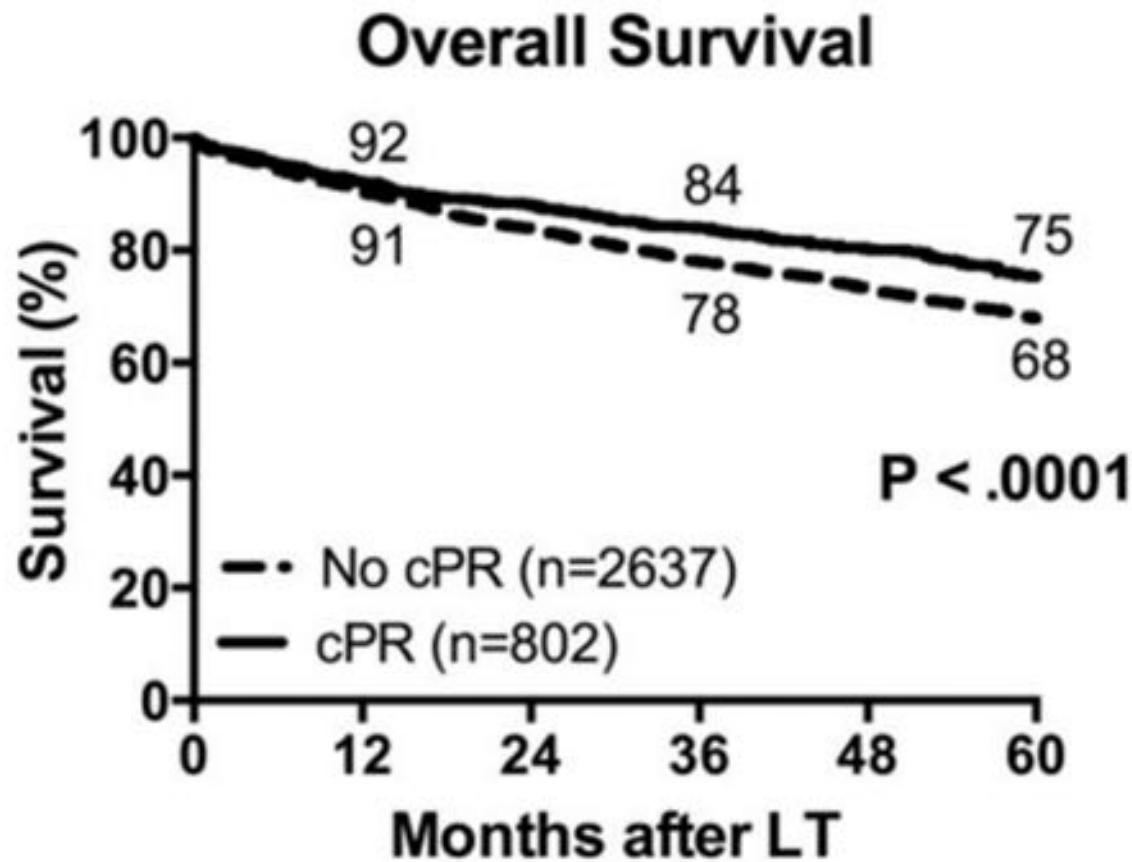
#### Recurrence Risk Stratification Score

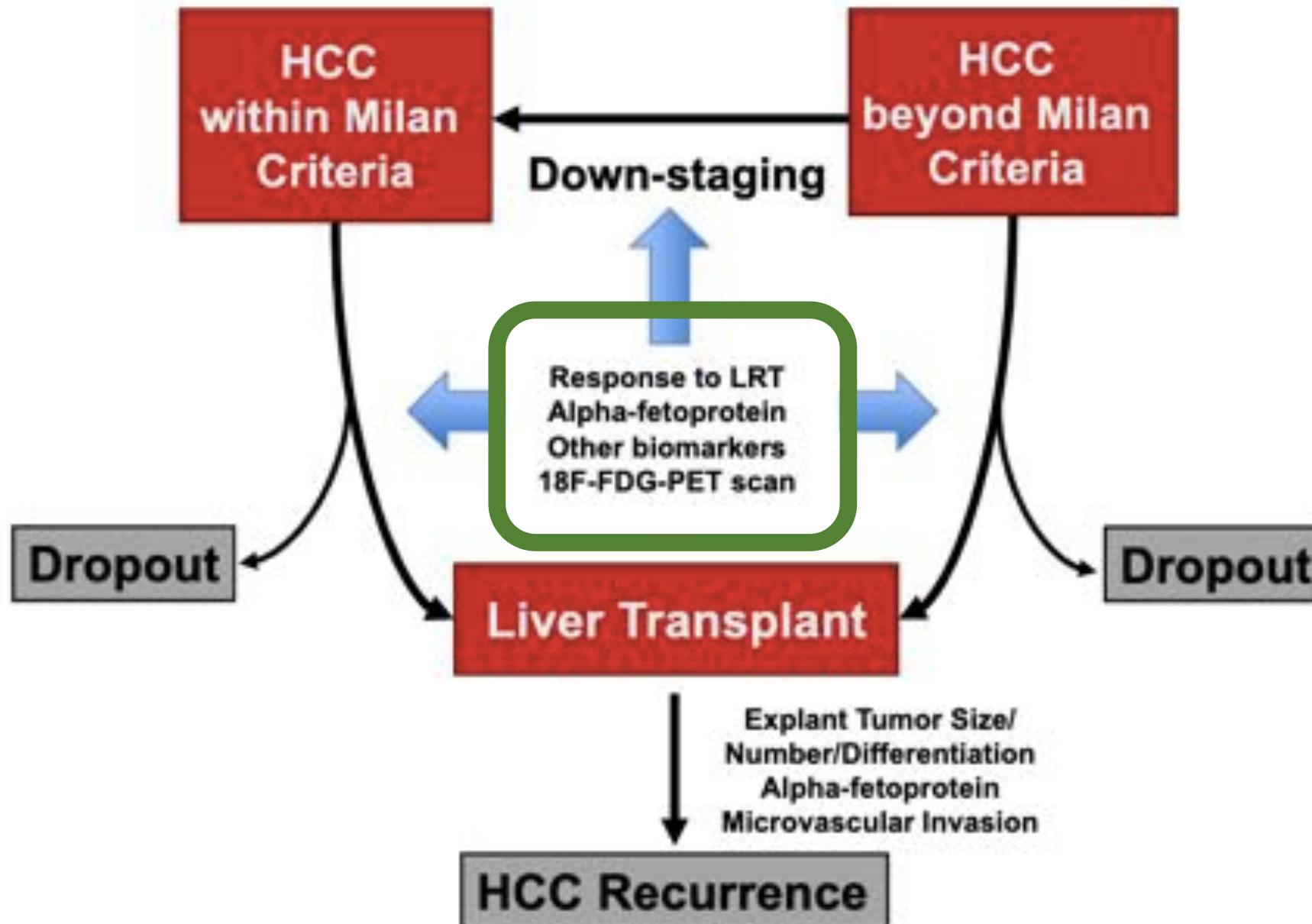
Score	Risk of Recurrence	Probability of 1-year Recurrence	5-year Survival
0	Low risk	1.80%	80%
2 - 4	Low-medium risk	5.40%	74%
5 - 8	Medium-high risk	12.20%	60%
> 8	High risk	44.90%	40%

# Upper limits in tumor burden?

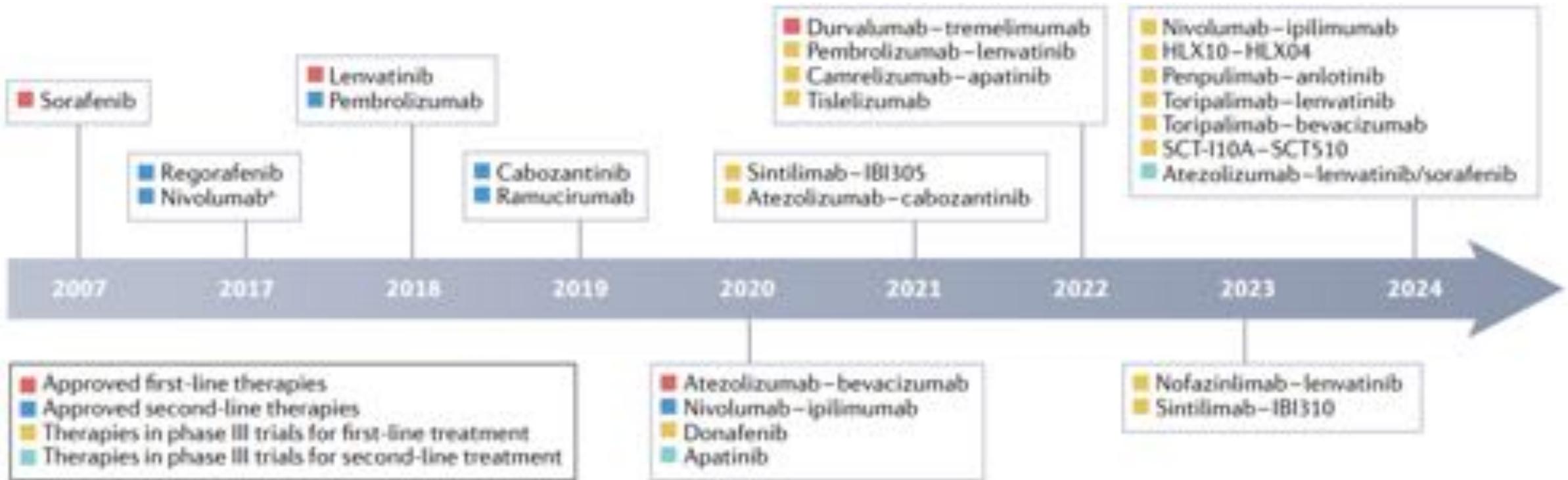


# Pathologic response - Wait time

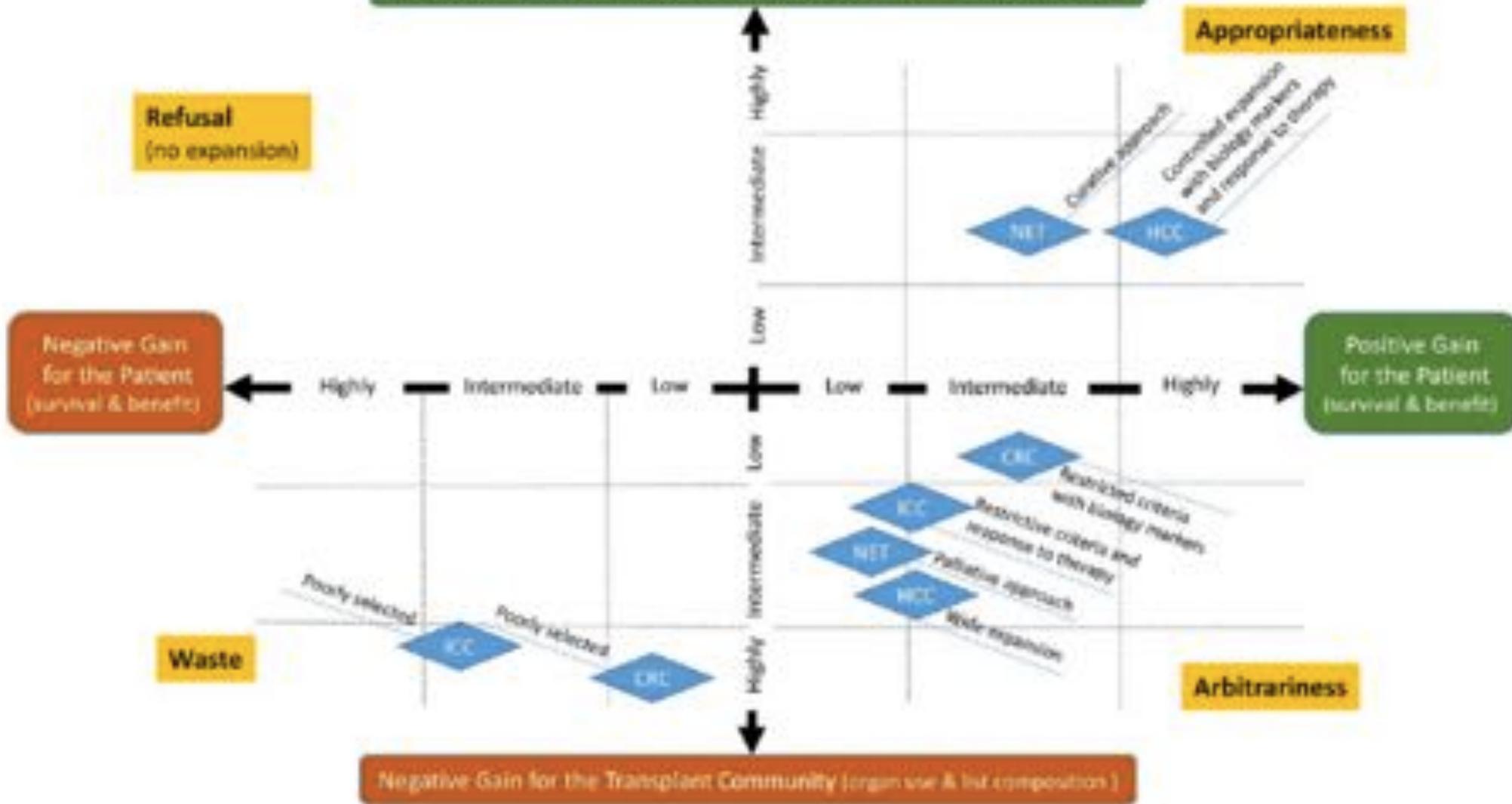




# Therapeutic landscape of advanced HCC



# Transplantation



*Recanati/Miller  
Transplantation Institute*

Mazzaferro et al. *Liver Transplant* 2018



# Solid organ transplant recipients treated with ICI

Age	Gender	Immune-suppression	Years after LT	Doses	Graft outcome	Pathology of graft	Treatment of rejection	Outcome of cancer	Overall outcome
20	M	Sirolimus	3	Nivo, 2	Loss of function	Cellular/AB mediated rejection	Prednisone, IVIG	N/A	Death after 5 weeks
14	M	Tacrolimus	3	Nivo, 1	Loss of function	Cellular/AB mediated rejection	Prednisone	N/A	Death after 4 weeks
53	F	Everolimus	3	Nivo, 1	Loss of function	Cellular	Steroids	Progression	Death after 4 weeks
41	M	Tacrolimus	1	Nivo, 15	No rejection	N/A	N/A	Progression	Death > 7 mo
57	M	Tacrolimus	4	Pembro x10	No rejection	N/A	N/A	Radiologic resolution	Alive at 10 mo

n = 29, 14/29 kidney, 11/29 liver, 3/29 heart, 1 cornea  
 Graft loss/acute rejection: 45%

# Immunotherapy and liver transplant

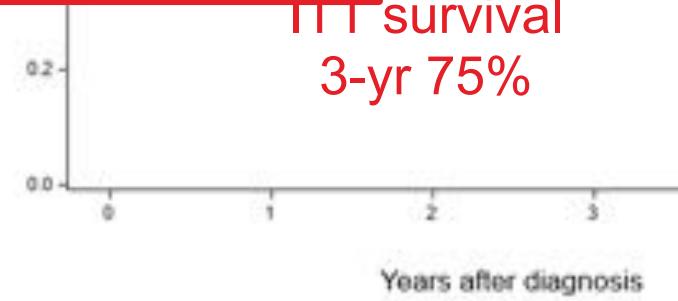
PD-1 inhibitor as bridge therapy to liver transplantation?

American Journal of  
TRANSPLANTATION

No major  
No  
5  
> 90 % major

- Heterogeneous
- Case series/reports
- ICI type and washout period
- Lack of biopsy
- Immunosuppression

ITT survival  
3-yr 75%



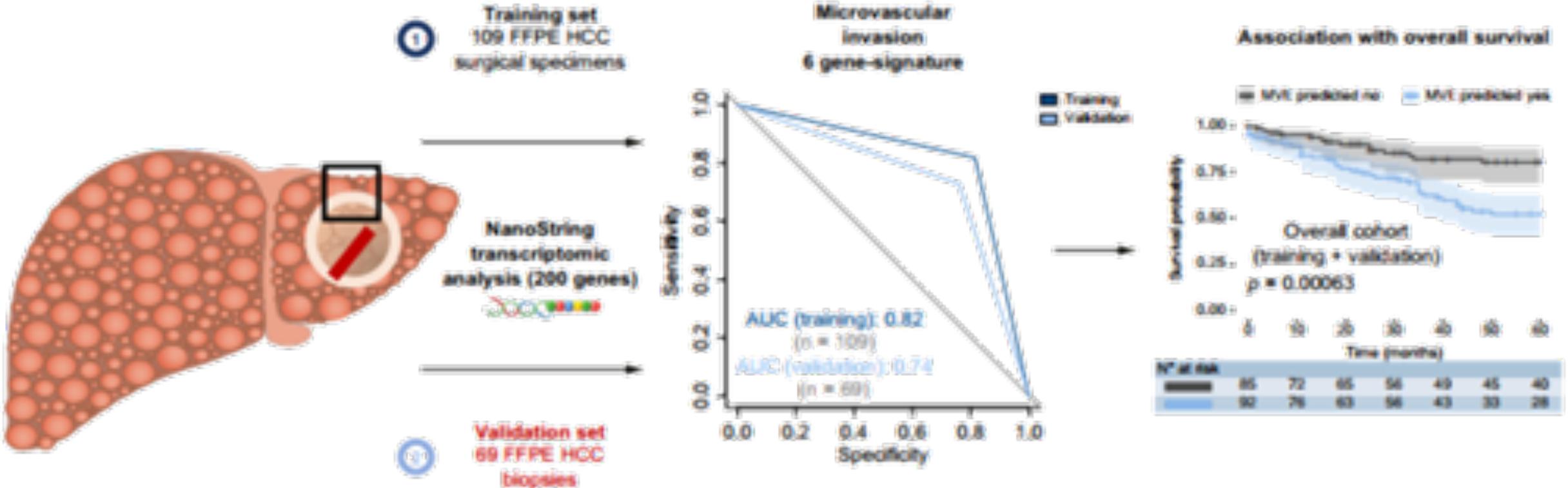
# Future directions

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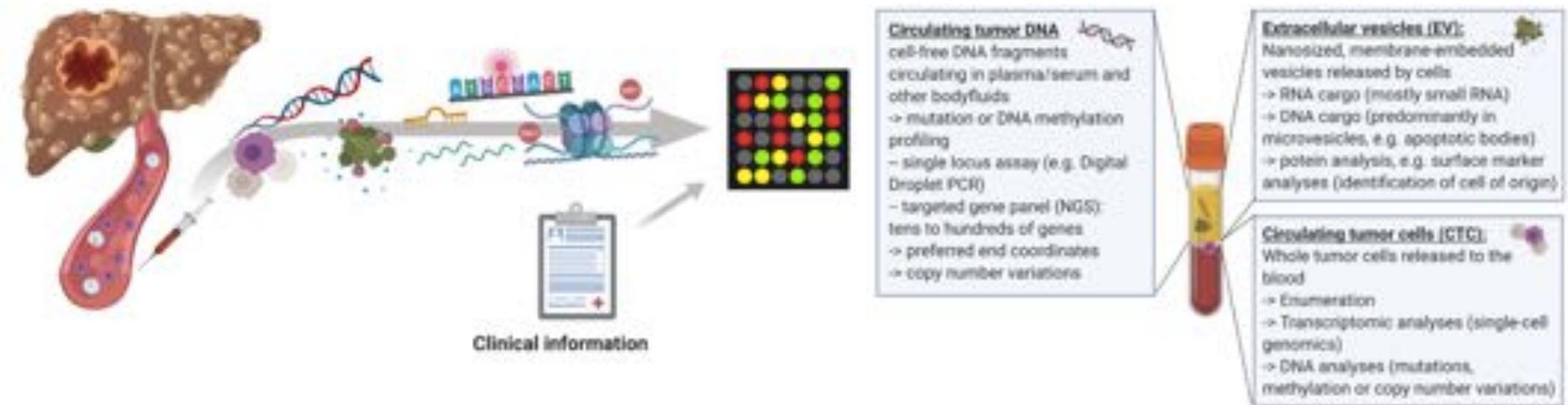
- Future directions?

Trial	NCT	Phase	Treatment arms	Endpoint	Adjuvant	N=
<b>Neoadjuvant pre LT</b>						
PLENTY202001	NCT04425226	Phase 2	Pembro/Len	RFS	No	192
	NCT05185505	Phase 2	Atezo/Bev	Feasibility % rejection	No	24
	NCT05027425	Phase 2	Durva/Tremi	30d rejection rate	No	30
	NCT04443322	NA	Durva/Len	PFS/RFS	No	20

# Gene expression signature



# Liquid biopsy in HCC



# Where do we stand?

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- Moving away from “size and number” criteria
- Patient selection/Personalization
- Understanding tumor biology:
  - Tumor differentiation
  - Heterogeneity of tumor growth
  - Boundaries of vascular invasion and DS therapies
  - Prognostic biomarkers (dynamic changes)
  - Other surrogates in predicting outcomes (e.g machine learning)
- Role and safety of ICI as bridge therapy
- Controlled clinical trial

The background image shows an aerial view of a dense urban skyline during sunset or sunrise. The sky is filled with soft, warm colors of orange, yellow, and blue. In the foreground, a large bridge spans a body of water, with its structure illuminated. The city below is a mix of modern skyscrapers and lower residential buildings, all with their lights on, creating a vibrant glow against the darkening sky.

Thank you